

SDMT V_s profiles in heterogeneous granular soil deposits

Ferdinando Totani^{1#}, Valentina Tomei¹

¹University of L'Aquila, Department of Civil Construction-Architecture and Environmental Engineering, Italy

[#]Corresponding author: ferdinando.totani@univaq.it

ABSTRACT

Many urbanized areas of the Apennines, in Italy, have complex soil stratifications. A typical example is the historical center of L'Aquila and its outskirts, founded on layers of significantly heterogeneity and struck by a strong earthquake in 2009. Under these conditions, shear wave velocity profiles (V_s) obtained from in-situ measurements using SDMT techniques allow reliable analyses of local seismic response. In the soil of L'Aquila, the use of SDMT tests in sand-filled boreholes, following the procedure described by Totani et al. (2009), allowed V_s to be measured at considerable depths. This article presents the results of local seismic response analyses conducted to characterize the soil foundation of the hospital complex and adjacent university buildings in L'Aquila before their seismic retrofitting. The authors developed a soil model based on the V_s profiles retrieved from the SDMT tests. This approach provided a detailed understanding of the soil seismic behaviour, essential for the proper characterization of seismic action and consequently, the design of seismic interventions. The study emphasises the importance of accurate soil characterisation prior to seismic upgrades especially in deposits where there are multiple shear wave velocity inversions. The seismic demand coming from the Italian Building Code of 2018, based on the so-called soil categories from equivalent velocity of shear wave, was compared to the results of the local seismic response analysis conducted by using the real V_s profiles from SDMT, which are extended to a much greater depths than those generally required by the regulations.

Keywords: Shear wave velocity; Coarse-grain soil; SDMT; Inversion of V_s profile; Seismic response.

1. Introduction

Evaluation of ground response is the most common problems encountered by geotechnical earthquake engineers. Ground response analyses are crucial for accurate estimation of ground surface motions and depends on geotechnical characteristics of soil profile.

In situ geotechnical parameters affect the ground shaking intensity and in particular shear wave velocity at low strain is fundamentally related to the material behaviour and associated constitutive modelling especially in the case of multilayered and coarse materials (Durante et. al 2015).

The shear wave velocity (V_s) profile generally increases with depth but there are also geological settings where the velocity profile is characterized by inversions, when a stiffer layer (exhibiting higher V_s) overlies a softer one (with a lower V_s).

These conditions are widespread and require specific seismic site response analyses, both for structures and infrastructures design and for land planning (Fabozzi et al. 2021).

The area on the left side of the Aterno River, where the hospital-university complex are located, is characterized by presence of vast heterometric deposits of Quaternary, characterized by a significant heterogeneity.

Such deposits are composed of fine to coarse calcareous fragments of variable size (mostly of some centimeters) embedded in sandy or silty matrix characterized by high variable cementation. They are not penetrable by DMT or CPTu by conventional means. A

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It is required of a drilled borehole and of a backfilling with sand for obtaining V_s profiles.

This procedure was first devised by Totani et al. (2009) and has been improved afterwards. The emergency scenario after the 2009 earthquake imposed very strict time constraints for reconstruction the hospital so for an accurate subsoil characterization of the site were planned seismic dilatometer tests (SDMT) since these tests prove to be cost-effective and fast.

The aim of the present work is to study the effect of V_s spatial variability and velocity inversion on seismic site response of the studied area based on subsurface model reconstruction. One-dimensional (1D) ground response analyses were performed, in order to evaluate the influence of V_s inversion on ground shaking in terms of shear strain profiles and acceleration response spectra at the ground surface.

2. Site investigations

To obtain the geotechnical model, the area has been extensively investigated in by means of 13 boreholes to -100 m depth, 9 SDMT, 4 Down-Hole, SPT, and seismic noise measurements. Were also used the results of previous investigations from the seismic microzonation study.

As previously described, the subsoil across the hill where the hospital and university are built are very complex (fine to coarse calcareous fragments of variable size, mostly of some centimetres, embedded in sandy or silty matrix, characterized by highly variable

cementation and mechanical properties) having thickness of about 100 m.

The planimetric location of the boreholes and the corresponding SDMT test locations are illustrated in Fig 1.

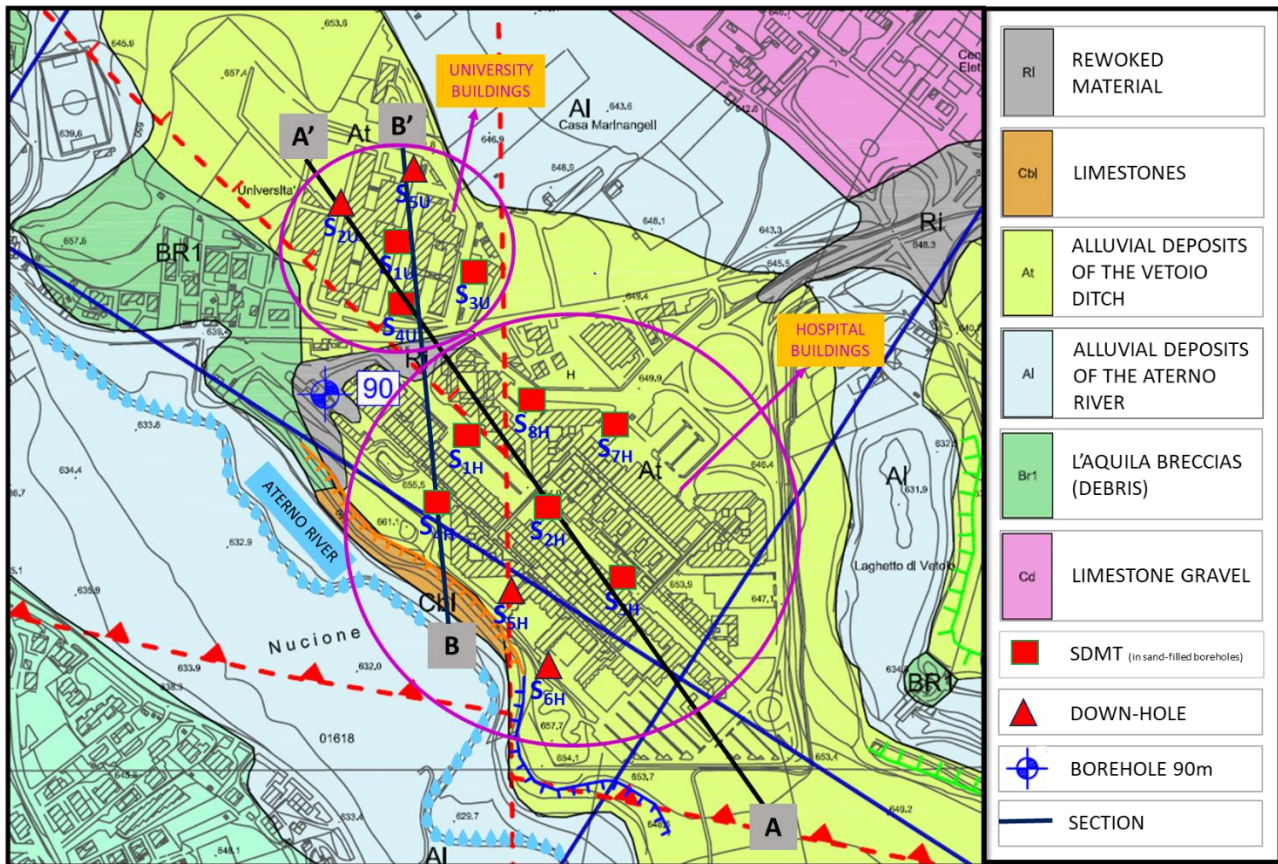
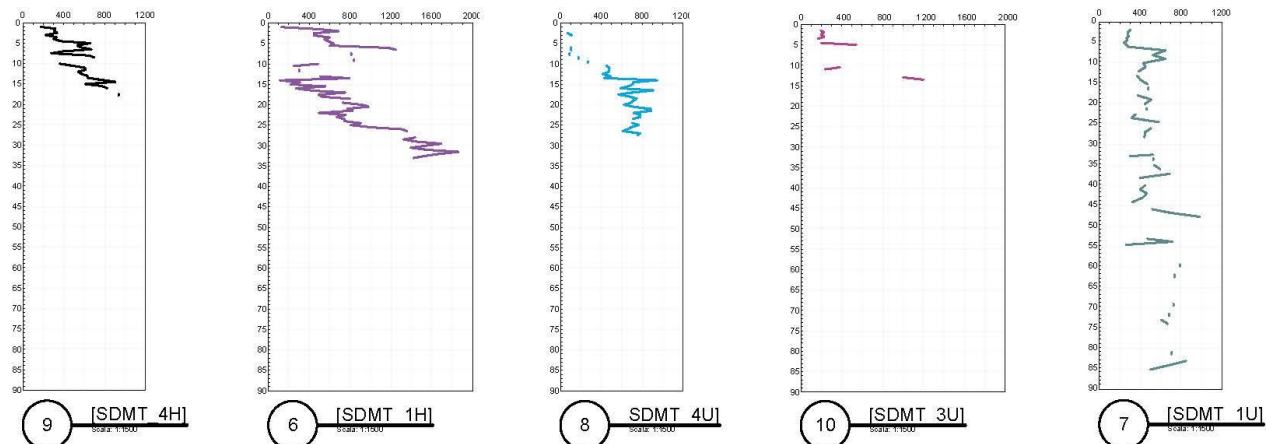
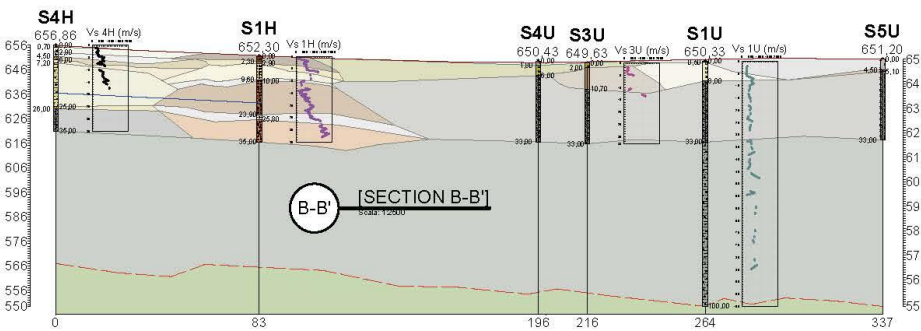
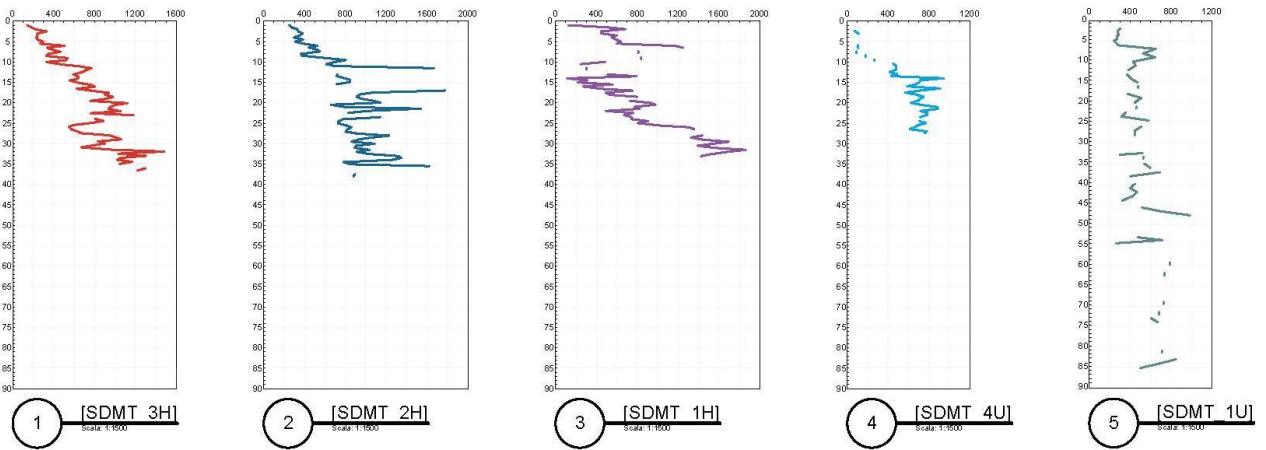
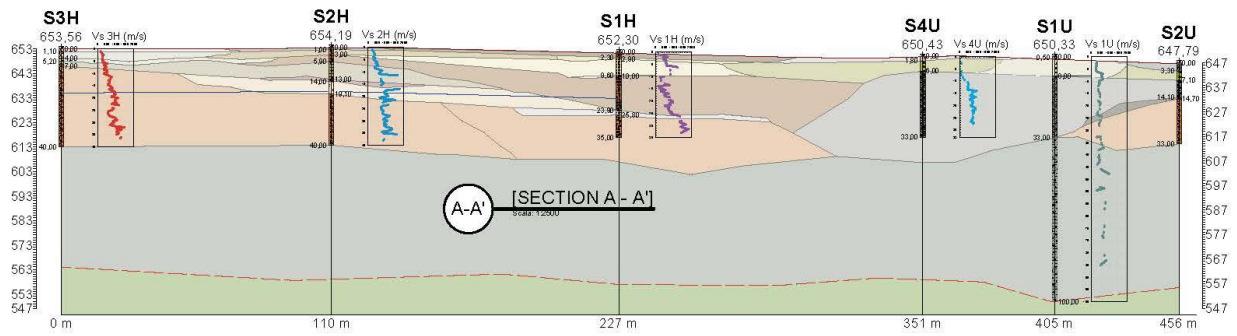


Figure 1. Map of the study area with tests locations and study sections.

All the surveys carried out in the area confirmed the generally coarse nature of the foundation soils and their marked heterogeneity can be seen in Fig. 2. The observed dispersion of the measured Vs by SDMT reflect

the variability in grain size distribution and cementation typical of this material Fig.3.



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|---|--|---|---|---|
| <ul style="list-style-type: none"> ■ BACKGROUND MATERIAL 1 ■ BACKGROUND MATERIAL 2 ■ BACKGROUND SOILS 1 ■ BACKGROUND SOILS 2 ■ CALCAREOUS GRAVEL 1 ■ CALCAREOUS GRAVEL 2 ■ CLAY SILT 1 | <ul style="list-style-type: none"> ■ CLAY SILT 2 ■ CLAY SILT 3 ■ COARSE SAND ■ GRAVEL WITH SAND ■ LIMESTONE FRAGMENTS 1 ■ LIMESTONE FRAGMENTS 2 ■ LIMESTONE FRAGMENTS 3 | <ul style="list-style-type: none"> ■ LIMESTONE FRAGMENTS 4 ■ LIMESTONE FRAGMENTS 5 ■ LIMESTONE FRAGMENTS 6 ■ LIMESTONE FRAGMENTS 7 ■ LIMESTONE FRAGMENTS 8 ■ LIMESTONE FRAGMENTS 9 ■ LIMESTONE 1 | <ul style="list-style-type: none"> ■ LIMESTONE 2 ■ LIMESTONE 3 ■ ROAD SUBSTRATE 1 ■ ROAD SUBSTRATE 2 ■ SAND AND SILT SAND 1 ■ SAND AND SILT SAND 2 ■ SAND AND SILT SAND 3 — WATER | <ul style="list-style-type: none"> ■ SAND AND SILT SAND 4 ■ SANDY CLAYEY SILT ■ SILT CLAY AND CLAY SILT 1 ■ SANDY SILT 1 ■ SILT WEAKLY CLAYEY 1 ■ SILT WEAKLY CLAYEY 2 ■ SILT WEAKLY CLAYEY 3 ■ BEDROCK |
|---|--|---|---|---|

Figure 2. Section A-A' and B-B' with soil material classification from boreholes and Vs profiles from SDMT.

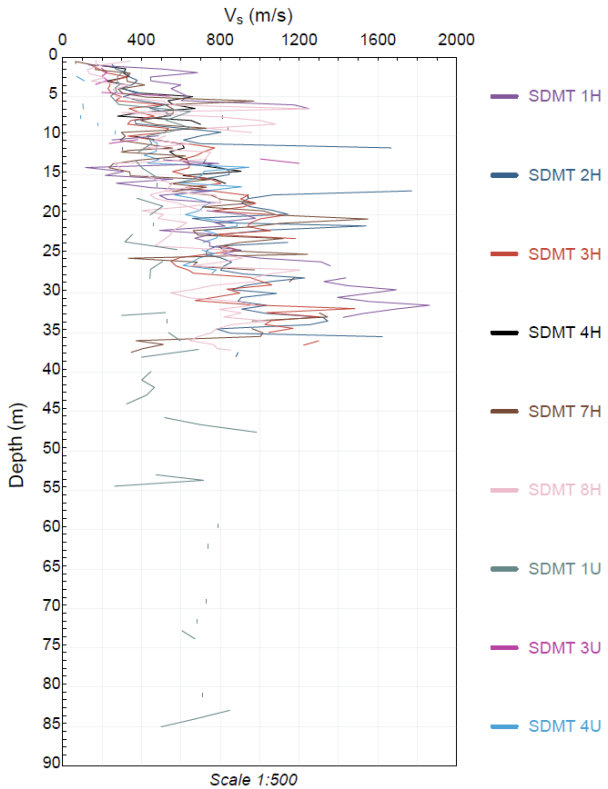


Figure 3. Superimposed profiles of VS measured by SDMT in backfilled boreholes

3. Ground response Analyses

The Earthquake resistant design of new structures and assessment of seismic damage in existing structures require estimation seismic ground motion based on design parameters that can be improved by site-specific ground response analyses or obtained from buildings codes. The design parameters developed by site-specific analyse are more accurate than those obtained from building codes and will probably result in a more economical design. Italian Building codes 2018 (IBC18) are constantly updated and improved in line with the knowledge and experience that increases over time.

In this study, each V_s profile collected by SDMT investigation was used to determine the response of these complex deposits to seismic loading. The computer codes STRATA (Kottke 2009) makes an iteration of the 1D analysis in order to follow the variation in normalised shear modulus G/G_0 and damping ratio D values with the shear strain. It assumes one-dimensional soil deposit conditions, such as the simplification of horizontal soil layers of infinite extent in the lateral sense.

Fig. 4 shows the input motions that consists of seven unscaled horizontal natural records selected from the ITACA archive (Luzi et al. 2008). The average of the selected spectra respects the compatibility with the Uniform Hazard Spectrum (characterised by a return period $T_R = 475$ years) at rock conditions referred to as subsoil class A, in the 0.1–1.5 s period range for the area studied, as proposed by the IBC. Fig 4. shows the corresponding 5% damped response spectra with a comparison between the average input spectrum and the reference shape of IBC. The acceptance limits chosen for the average spectrum were +30% for the upper one and

-10% for the lower one, with respect to the reference spectrum.

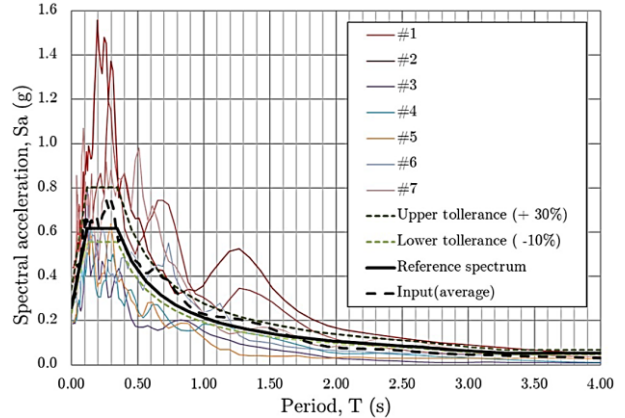


Figure 4. Comparison between response spectra of the 7 time histories (different colours) from ITACA selection as input motions, average shape spectrum (dashed black line) and reference spectrum from IBC2018 (continuous black line).

The subsurface model showed in Tab.1 presents a concise idealisation of mechanical behaviours of geotechnical units (GUs). The curves of normalised shear modulus G/G_0 and damping ratio D versus shear strain was assumed from the literature. In addition, the bedrock was modelled with an elastic behaviour and an initial critical damping ratio D_0 equal to 0.5%.

Table 1. Mechanical and dynamical soil parameters of the geotechnical units

GUs	Type (l)	γ (KN/m ³)	$G/G_0(\gamma)$ and $D(\gamma)$ Curve
1	Fill material	18	Rollins (1998)
2	Lime and Sand	19	Darendeli and Stokoe (2001)
3	Gravel	20	Modoni and Gazzellone (2010)
4	Lacustrine	20	Seed (1970)
5	Bedrock	22	Elastic ($D_0 = 0.5\%$)

The profiles of the V_s were appropriately averaged in accordance with the stratigraphy of the survey and are shown in Fig. 5. It is important to emphasise that the profiles included in the Hospital area (H) have the following characteristics: 1) S3H shows a slight inversion of V_s (over -20 m); 2) S2H shows a V_s increasing with depth; 3) S1H shows a shallow inversion of V_s . Instead the profiles included in the University area (U) have the following characteristics: 1) S1U shows a V_s increasing with depth; 2) S1U shows a consistent surface inversion for a thick layer.

The depth of the bedrock was inferred from a well drilled in the area and therefore set at approximately -90-100 m and was assigned the V_s of 1250 m/s.

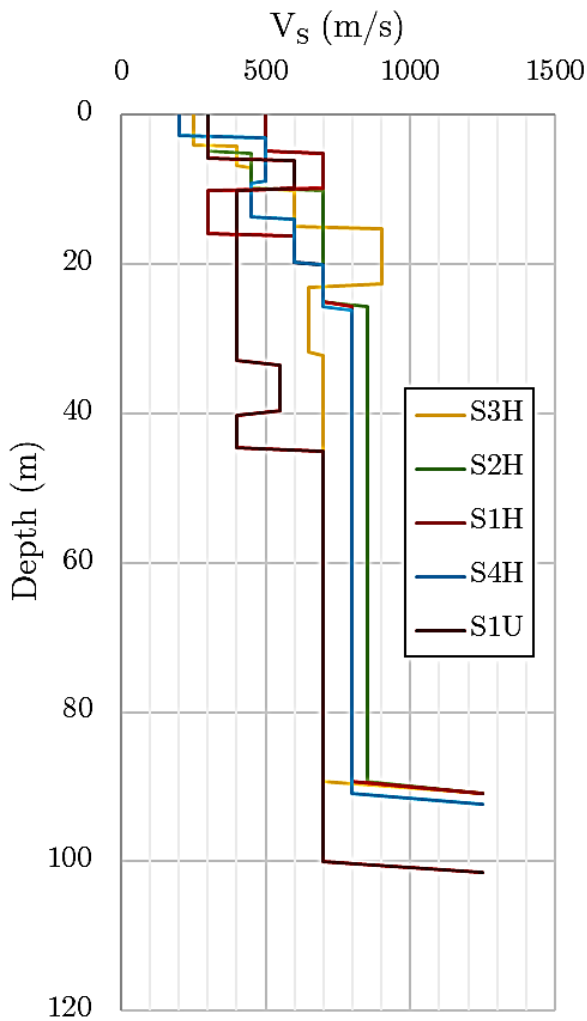


Figure 5. SDMT V_s profiles appropriately averaged for the ground response analyses

In this part of the basin, the majority of significant HVSR, properly referable to fundamental resonance frequencies (f_0) of the quaternary deposits fall in the range of frequency 0.8-1 Hz while higher frequencies values (5 -10 Hz) are associated with superficial fill material and/or Sandy lime soil.

4. Results

The results are offered in terms of transfer function (TF) and elastic response spectra (SA) showed in Figures 6,7 and 8.

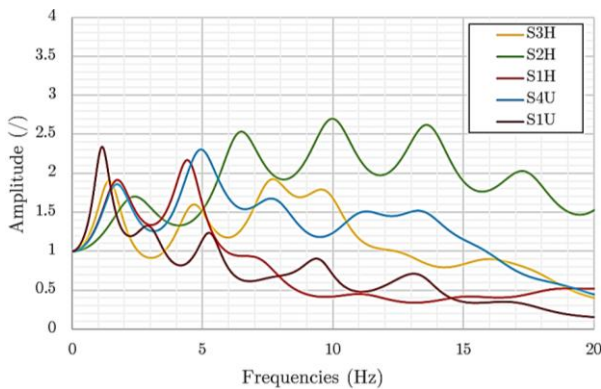


Figure 6. Average TFs for the ground response analyses at five investigations point (different colours).

Fig. 7 shows a further comparison, for each study's profile, between the resonance amplitudes relative to the f_0 estimated from the 1D analyses and the theoretical ones obtained under the assumptions of an ideal visco-elastic homogeneous layer over a deformable substrate. For the theoretical subsoil, an average V_s was assigned from the "inhomogeneous" profiles from measured V_s and an average damping D_0 of 0.6% from the literature curves assigned in Tab 1. A regression law was defined to fit the numerical results for f_0 . For completeness, the resonance amplitudes for the upper mode whit frequency f_1 were added to show a dispersion of the data.

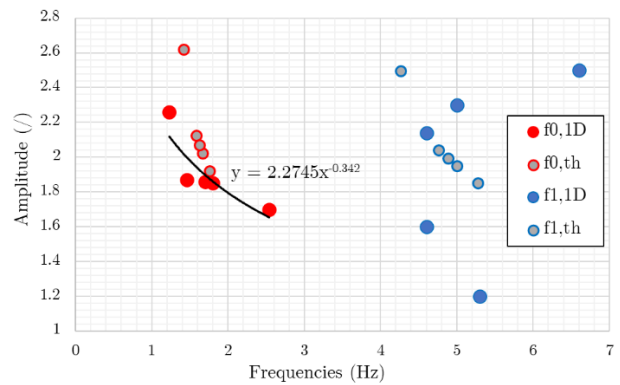


Figure 7. Comparison between f_0 and f_1 from 1D analyses (red and blue full points) and theoretical ones under the assumptions of an ideal visco-elastic homogeneous layer over a deformable substrate (red and blue empty points). Also are show a fitting regression law only for numerical f_0 .

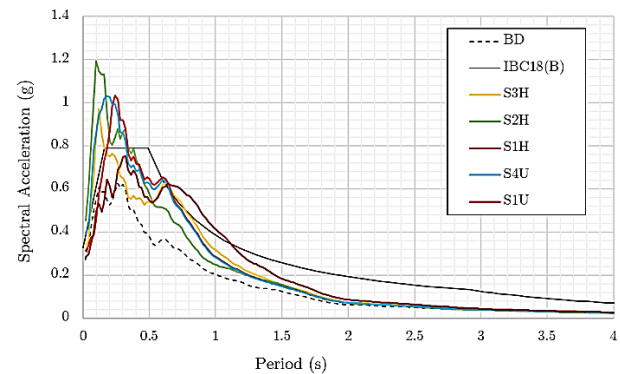


Figure 8. Average SAs for the ground response analyses at five investigations point (different colours), average SA for the bedrock (dotted black line) and the IBC spectra for the subsoil category B (continuous black line) i.e. IBC18(B).

5. Comments

The following comments can be made for the TF results: 1) the TFs represent the general dynamic behaviour in terms of frequencies of amplification and in particular a low-frequency resonance of basin was identified (i.e. 1 Hz); 2) At higher frequencies there is dispersion due to the seismic stratigraphic complexity of the more superficial layers 3) regarding low frequencies, in agreement with Fabozzi et al. (2021), appear a greater amplification for profiles that show a V_s inversion (i.e. S3H, S1H and S1U).

According to Rovithis et al. 2011 Fig. 7 show that for an inhomogeneous soil the "equivalent" homogeneous approximations (in this study the average of V_s profiles

and D_0 for the material behaviours) remain a promising solution for the amplitude of f_0 given that deep soil deposits are encountered. On the contrary, resonant amplitudes may be significantly overestimated or underestimated when an equivalent homogeneous soil approach is adopted, especially at higher resonances.

The following comments can be made for the SA results: 1) SAs curves represent the complexity of seismo-stratigraphic conditions; 2) First amplification peaks can be noted for the low periods associated with softer surface layers (0.1 – 0.3 s); 3) a second system of peaks for higher periods can be associated with both the deep impedance between deposit and bedrock and the inversion of velocity within the entire layer (i.e. 0.6-1 s); 4) the latter two effects are superimposed.

6. Conclusions

The SDMT V_s profiles obtained in this area of L'Aquila have made possible to reconstruct a reference subsurface model for the 1D seismic response analyses. The site response analysis presented in this study is performed in order to represent the complexity of geotechnical condition: 1) heterogeneous stratifications; 2) granular deposits; 3) inversion of V_s profiles.

Some authors (Fabozzi et al. 2021) show that the effect of the hard upper layer is to exert a confining action on the soft layer below and so two different results are possible: a de-amplification for the lower period and a more evident amplification effect in the higher period.

Other authors (Rovithis et al. 2011) emphasise that a homogeneous (i.e. simplified) equivalent profile (i.e. simplified) leads to over-underestimations of the true amplification for vibrational modes higher than the fundamental one.

The soft layer, depending on its V_s and thickness, is therefore the main controlling element of the 1D column under these complex geological conditions. Therefore, it must be emphasized that SDMT V_s profiles can capture these variations and are also able and efficient to study aspects still under discussion.

Finally, given the purpose of the work, the analyses were conducted in the 1D dimension, including only the lithostratigraphic effect, so the results and conclusions discussed are only valid under this condition. In this complex morphological/geometric context, the 2D effects together with the influence of the V_s profile inversion are also relevant, and therefore further studies will be approached in the future.

Acknowledgements

The authors are grateful to the Regional Hospital "S. Salvatore" and to University of L'Aquila to place the data at disposal to produce this article.

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